



ELEMENTS	PERFORMANCE CRITERIA
Elements describe the essential outcomes	Performance criteria describe the performance needed to demonstrate achievement of the element.
1. Lead the initial response to incident	<ul> <li>1.1 Identify required initial response to incident according to established organisational response plan</li> <li>1.2 Implement initial response according to plan, and organisational and legislative requirements</li> <li>1.3 Confirm sufficiency and suitability of implemented initial response</li> <li>1.4 Consult individuals and/or parties according to organisational policies and procedures</li> </ul>
2. Develop and resource an incident investigation plan	2.1 Identify duty holders according to WHS laws and workplace
	policies, procedures and systems
	2.2 Define scope and purpose of investigation appropriate to
	nature and scope of incident
	2.3 Identify, document and secure required human and other
	resources appropriate to nature and scope of incident, including
	expert advice as required
	2.4 Ensure participation of, and consultation with, required
	stakeholders, and determine agreed processes for investigation
	2.5 Identify, address and document potential barriers to
	investigation according to organisational requirements 2.6 Document incident investigation plan according to organisational and WHS legislative requirements
3. Collect incident information and data	3.1 Identify and access relevant and reliable sources of incident
	information and data according to organisational policies and
	protocols, suitable causation model and legislative requirements
	3.2 Inspect incident site, equipment and other evidence according
	to investigation plan, organisational procedures and legislative
	requirements 3.3 Present collected information and data in required format







4. Lead an incident investigation that is not part of providing legal advice or preparing for legal proceedings	4.1 Brief investigation team on investigation requirements and incident causation model
	4.2 Construct and document timeline of events leading up to
	incident
	4.3 Coordinate investigation of key events, conditions and/or
	circumstances that contributed to incident 4.4 Develop recommendations, interventions and practical measures for investigation report
5. Record and report WHS incident investigation	5.1 Document investigation evidence and basis for conclusions and
	recommendations
	5.2 Prepare investigation report according to organisational
	procedures and WHS legislative requirements
	5.3 Implement organisational and WHS legislative recordkeeping
	protocols and procedures in relation to investigation report 5.4 Communicate report to required individuals and/or parties according to organisational policies and procedures

